

Programmatic Baseline Report

Title: CARB CAA 105 FY20

Grant Family: 00901319

Section 0 - Alternatives

Date Completed: 05/07/2021	Date of next monitoring: 11/15/2021	Project Officer: Angela Latigue
Alternative Completed in Lieu of Baseline Monitoring:		

Section 1 - Recipient Work Status

1. Has the recipient begun work under this assistance agreement?: Yes
Additional Notes:

Section 2 - Award Funded

2. How is this award funded?: Funded via Supplement
2A. Complete the following information for incrementally funded awards:
2A1. Have all increments been funded?:
Enter an explanation:
2A1a. Amount the Recipient has received in incremental funding:
2A1b. The current total funding for this award, which includes the incremental funding:
Additional Notes for 2A:
2B. Complete the following information for supplementary funded awards:
2B1. Amount the Recipient has received in supplemental funding: \$5,860,656.00
2B2. The current total funding for this award , which includes the supplemental funding: \$13,702,018.00
Additional Notes for 2B:
Funding for FY21 will be awarded soon.

Section 3 - Progress Reports

3. Based upon evaluating progress reports and your review, is the Recipient:
3A. Submitting progress reports as required in the award?: Yes
(NOTE- All monetary awards including new agreements and incremental or supplemental amendments to existing agreements made on or after March 29, 2016 that include subawards must address the requirements of GPI 16-01: EPA Subaward Policy)
Enter an explanation:
3B. Meeting milestones and/or targets described in the award and/or scope of work?: Yes
Enter an explanation:
3C. Making agreed-upon progress in meeting environmental results and/or environmental outcomes (to the max extent practicable)?: Yes
Enter an explanation:
3D. Other (Activity) (Compliance):
Additional Notes:
3E. Are there delays which impact the completion of milestones or the project, which need to be reported? (If so, milestones may need to be revised to either get project back on track with the original project period, or be revised to accommodate any time extensions):
Additional Notes:

Section 4 - Programmatic Terms and Conditions

4. Programmatic Terms and Conditions:
Enter an explanation:
4B. Has the grantee submitted Quality Assurance Project Plan (QAPP)?: Yes
4B1. Has the QAPP been approved?: Yes
4C. Has the grantee submitted Quality Management Plan(s) (QMPs)?: Yes
4C1. Has the QMP been approved?: Yes
Enter an explanation:
4D. Is the recipient submitting quarterly payment requests?(via TIMELY PAYMENT REQUEST TERM AND CONDITION in Unliquidated Obligations Policy-GPI 16-01: EPA Subaward Policy) (http://intranet.epa.gov/ogd/policy/gpi_11_01_12_02_10_final.pdf): Yes
Enter an explanation:
4E. Other :
Enter an explanation:
Additional Notes:

Section 5 - Programmatic Findings

5. Are there any OPEN programmatic findings for THIS Award in the COMPLY?: No
5A. Is there a corrective action in place to address the findings?:
Enter an explanation:
5A1. Is the planned corrective action on track for completion?:
Enter an explanation:
Additional Notes:

Section 6 - Cost Approvals

6. Does the recipient's most current EPA approved work plan require any of the following changes? (This can include needed/requested/recommended actions)
6A. Movement/transfer of funds in grantee's total approved budget more than 10% between cost categories. (See guidance for applicability): No
Changes are:
Enter an explanation:
Additional Notes:
6B. Re-budgeting between direct and indirect costs (See guidance for applicability): No
Changes are:
Enter an explanation:
Additional Notes:

6C. Changes to equipment costs not included in the most current workplan: No
Changes are:
Enter an explanation:
Additional Notes:
6D. Changes in key personnel (EX: Has turnover in staff caused delays in completing the funded activities?): No
Changes are:
Enter an explanation:
Additional Notes:
6E. Unplanned travel expenses not identified in the most current approved work plan: No
Changes are:
Enter an explanation:
Additional Notes:
6F. Food and refreshments at events not identified in most current approved work plan: No
Changes are:
Enter an explanation:
Additional Notes:

6G. Changes in the project's approved scope of work: No
Changes are:
Enter an explanation:
Additional Notes:
6G.1. Does the change in scope of work introduce one of the following agents or toxins:
Avian influenza virus, Bacillus anthracis, Botulinum neurotoxin, Burkholderia mallei, Burkholderia pseudomallei, Ebola virus, Foot-and-mouth disease virus, Francisella tularensis, Marburg virus, Reconstructed 1918 Influenza virus, Rinderpest virus, Toxin-producing strains of Clostridium botulinum, Variola major virus, Variola minor virus, Yersinia pestis:
The EPA Policy on DURC/iDURC must be followed. In the 'Enter Explanation', enter any new information regarding DURC and list the 15 agents and toxins if previously not identified in the Funding Recommendation.
Changes are:
Enter an explanation:
Additional Notes:
6H. Other (Cost/Activity) (Approval):
Changes are:

Enter an explanation:

Additional Notes:

Section 7 - Drawdowns

7. Is the recipient making any draw-downs on this award in accordance with the workplan (COMPASS Data Warehouse: https://ocfosystem1.epa.gov/neis/grant_web.grant_inquiry)?: Yes

Additional Notes:

7A. Do the drawdowns seem reasonable and capture the progress to date based on the project duration and workplan?: Yes

Enter an explanation:

Additional Notes:

7B. Have you discussed the lack of draw-downs or project progress with the grantee(If no drawdowns within the past 180 days, please provide explanation)?:

Enter an explanation:

Additional Notes:

Section 8 - Remaining Funding

8. Is the remaining funding on this award necessary to complete the project?: Yes

Enter an explanation:

Additional Notes:

Section 9 - Davis-Bacon Act

9. Has the Recipient ensured that applicable grant-funded construction contracts, solicitations and sub-agreements include clauses or terms of complying with the Davis-Bacon Act? (Only applicable to construction projects or in layman terms, projects in which 'dirt is moved'): NA

Enter an explanation:

Additional Notes:

Section 10 - Payroll Records

10. Did the award recipient, sub-recipient or borrower, as appropriate depending on the nature of the program, receive and review certified weekly payroll records per Department of Labor form WH-347 for applicable construction contacts? (Only applicable to construction projects or in layman terms, projects in which 'dirt is moved') <http://www.dol.gov/whd/forms/wh347.pdf>: NA

Enter an explanation:

Additional Notes:

Section 11 - ARRA Contracts

11. Did the recipient ensure that all applicable ARRA contracts have provisions for the use of American Iron, Steel and Manufactured Goods (Buy America) when required? (Only applicable to ARRA Awards): NA

Enter an explanation:

Additional Notes:

Section 12 - Workplan

12. In accordance with Resource Management Directive 2520-03-P1, Responsibilities for Reviewing Unliquidated Obligations, does the most current, revised workplan specify target dates and milestones for timely project completion to the maximum extent practicable? http://intranet.epa.gov/ocfo/policies/direct/2520-03-P1_ULO.pdf: Yes

Enter an explanation:

Additional Notes:

Section 13 - Recommendations

13. Based upon your review and knowledge of this award, do you recommend:

13A. Award Amendment: No

Discuss with:

Enter an explanation:

Additional Notes:

13B. Advanced Programmatic Monitoring: No

Discuss with:

Enter an explanation:

Additional Notes:

13C. Administrative Review: No

Discuss with:

Enter an explanation:

Additional Notes:

13D. OIG Referral: No

Discuss with:

Enter an explanation:

Additional Notes:

13E. More Frequent Baseline Monitoring: No

Discuss with:

Enter an explanation:
Additional Notes:
13F. Other: Recommendation (Approval) (Discuss With):
Enter an explanation:
Additional Notes: